

LAW OFFICES OF

**JACOBSON HOLMAN**

PROFESSIONAL LIMITED LIABILITY COMPANY

400 SEVENTH STREET, N. W.

WASHINGTON, D. C. 20004

(202) 638-6666

**FAXED COPY RECEIVED****JUL 24 2001**

July 24, 2001

JACOBSON HOLMAN STERN

OF COUNSEL  
MARVIN R. STERN  
NATHANIEL A. HUMPHRIESTELEFAX:  
(202) 393-5350  
(202) 393-5351  
(202) 393-5352E-MAIL: JPH@JPHS.COM  
INTERNET: WWW.JPHS.COM

\*BAR OTHER THAN DC

HARVEY B. JACOBSON, JR.  
JOHN CLARKE HOLMAN  
SIMOR L. MOSKOWITZ  
ALLEN S. MELSER  
MICHAEL R. SLOBASKY  
MARSHA G. GENTNER  
JONATHAN L. SCHERER  
IRWIN M. AISENBERG  
GEORGE W. LEWIS  
WILLIAM E. FLATER  
YOON S. HAM  
PHILIP L. O'NEILL  
LINDA J. SHAPIRO  
LEESA N. WEISS  
SUZIN C. BAILEY  
MATTHEW J. CUCCIAS  
DANIEL K. DORSEY  
MONICA LEWISExaminer Loan Thanh  
Group Art Unit 3763  
U.S. PATENT AND TRADEMARK OFFICE  
Arlington, VirginiaVIA FACSIMILE ONLY

703/306-4520

9 pages

Re: U.S. Appln. Ser. No. 09/729,924  
LOLACHI et al/FRESENIUS AG  
Our Ref.: P63518USO**URGENT**

Dear Ms. Thanh:

Pursuant to our just completed telephone conversation, I attach copies of (1) the original Filing Receipt indicating that the anticipated publication date for this application is August 9, 2001, (2) the Substitute Declaration with Transmittal Letter and PTO date-stamped receipt card, indicating filing on June 1, 2001, and (3) the Request for Filing Receipt Correction, without attachments, which was filed yesterday.

We appreciate your efforts in seeking to ensure that the published application indicates the inventors' names in their correct order, as set forth in the Substitute Declaration, i.e., Houshang LOLACHI, Hans-Jürgen NEUMANN and Siegfried EBNER.

We would greatly appreciate it if you would be kind enough to advise us when you have matched up our papers with the PTO file for this very important case for our client, as well as what further steps, if any, we can take to accomplish the correction prior to this application being published.

Again we thank you for your assistance.

Respectfully,

  
HARVEY B. JACOBSON, JR.  
for JACOBSON HOLMAN, PLLCHBJ/lhs  
Attachments



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/729,924	12/08/2000	3763	840	P86152US0	11	19	3

CONFIRMATION NO. 7449

00136  
JACOBSON PRICE HOLMAN & STERN  
THE JENIFER BUILDING  
400 SEVENTH STREET N W  
WASHINGTON, DC 200042201

## UPDATED FILING RECEIPT



"0C000000006038992"

Date Mailed: 05/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Siegfried Ebner, St. Wendel, GERMANY;  
Houshang Lolachi, Rockville, MD;  
Hans-Jurgen Neumann, St. Wendel, GERMANY;

## Domestic Priority data as claimed by applicant

## Foreign Applications

GERMANY 199 80 226.3 12/14/1999

If Required, Foreign Filing License Granted 01/17/2001

Projected Publication Date: 08/09/2001

Non-Publication Request: No

Early Publication Request: No

## Title

Sterility-maintaining connection system for medical systems and use thereof

**Preliminary Class**

**604**

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**Data entry by : BETEMARIAM, TIRUAYENET Team : OIPE**

**Date: 05/03/2001**

[REDACTED]

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

**Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231**

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JUL 24 2001

JH Ref P 66132450Today's Date 7/1/01Serial/Patent No. 09/729,724Applicant, Patentee, Assignee LOLACHI et al.Filing Date/Patent Date 1/6/00

The following has been received in the U.S. Patent &amp; Trademark Office on the date stamped herein:

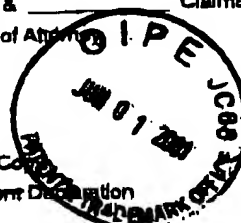
- ☐ \_\_\_\_\_ pp. Specification & \_\_\_\_\_ Claims ☐ Response to Office Action  
☐ Combined Declaration, Power of Attorney ☐ Disclosure Statement-IDS  
☐ Preliminary Amendment ☐ Copies of References  
☐ Rule 53 (b) Application ☐ Request for Refund  
☐ Rule 53 (d)/RCE Application ☐ Request for Corrected Filing Receipt  
☐ Claim to Priority and Certified Copy ☐ Petition for XOT  
☒ Substitute ☐ Subsequent Declaration ☐ Notice of Appeal/Brief  
☐ Maintenance Fee ☐ Sequence Listing  
☐ Drawings \_\_\_\_\_ Sheets \_\_\_\_\_ Formal \_\_\_\_\_ Informal ☐ Issue Fee Transmittal  
☐ Assignment/Change of Name ☐ Other \_\_\_\_\_  
☐ Small Entity Declaration  
☐ Check for \$ \_\_\_\_\_

JH 5/01

DUE DATE \_\_\_\_\_

Person filing Chae

LAW OFFICES OF  
JACOBSON HOLMAN PLLC  
400 SEVENTH STREET, N.W.  
WASHINGTON, D.C. 20004



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: LOLACHI et al.

Serial No.: 09/729,924

Filing Date: December 6, 2000

For: STERILITY-MAINTAINING CONNECTION SYSTEM FOR  
MEDICAL SYSTEMS AND USE THEREOF

FAXED COPY RECEIVED

JUL 24 2001

TRANSMITTAL LETTERAssistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

Attached hereto is a Substitute Declaration, which now reflects the correct sequence of inventor's names. It is respectfully requested that this Substitute Declaration be made of record in the above application.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By: Harvey B. Jacobson, Jr.  
Harvey B. Jacobson, Jr.  
Reg. No. 20,851400 Seventh Street, N.W.  
Washington, D.C. 20004-2201  
(202) 638-6666Atty. Docket No. P66152US0  
Date: June 1, 2001  
HBJ:crj

JUL 24 2001

APPLICATION BRANCH

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

LOLACHI et al

Serial No.: 09/729,924

Group Art Unit:

Filed: December 6, 2000

Examiner:

For: STERILITY-MAINTAINING CONNECTION SYSTEM  
FOR MEDICAL SYSTEMS AND USE THEREOF

COPY

REQUEST FOR FILING RECEIPT CORRECTIONHonorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

By Letter dated June 1, 2001, undersigned attorney submitted a Substitute Declaration to correct the sequence of the inventor's names for the above-identified application. A copy of the Transmittal Letter and Substitute Declaration, filed June 1, 2001, together with a copy of the date-stamped postcard, is attached for your convenience.

Please correct the original filing receipt, copy also attached, for the above-identified application to list the inventors in the order in which their names appear on the Substitute Declaration, as follows: Houshang LOLACHI, Hans-Jürgen NEUMANN and Siegfried EBNER.

Kindly return the "Corrected" filing receipt to the undersigned attorneys of record.

Respectfully submitted,

JACOBSON HOLMAN, PLLC

By:   
HARVEY B. JACOBSON, JR.  
Reg. No. 20,851400 Seventh Street, N.W.  
Washington, D.C. 20004-2201  
202/638-6666  
Atty. Docket: P66152US0  
Date: July 23, 2001  
HBJ/lhs